

FORM PTO-1390 (REV. 2-2005)		U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE	ATTORNEY'S DOCKET NUMBER <b>P/1071-1615</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/573058</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP2004/013111</b>	INTERNATIONAL FILING DATE <b>9 September 2004</b>	PRIORITY DATE CLAIMED <b>22 September 2003</b>	

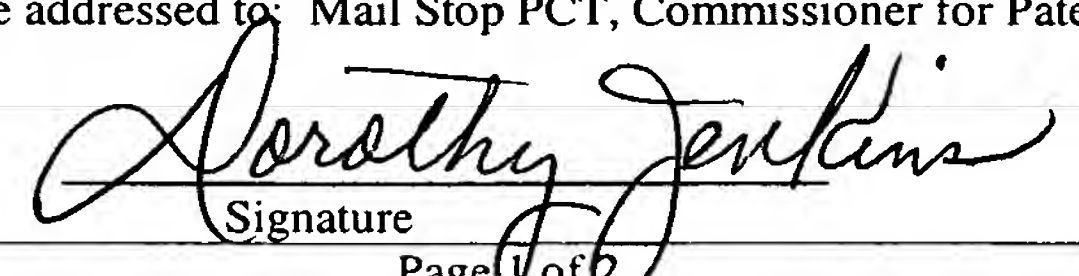
TITLE OF INVENTION  
**METHOD AND DEVICE FOR MOUNTING LIGHT EMITTING ELEMENT**

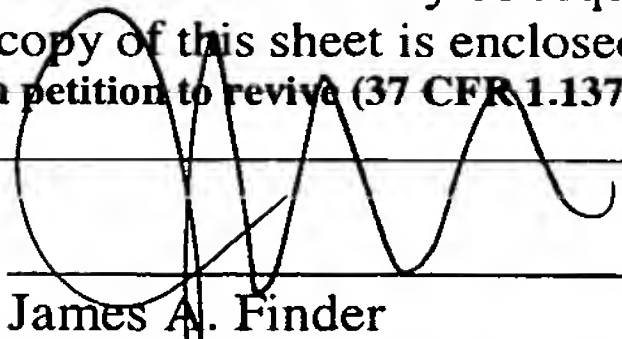
APPLICANT(S) FOR DO/EO/US  
**Shigeki FUKUNAGA**

- Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
  3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☒ The US has been elected (Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
    - a. ☒ is attached hereto.
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An **Executed** oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
  10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11 to 20 below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76
15. ☒ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information (*specify*): *10 sheets of drawings; EFS form; postcard; publication, International Search Report, PTO-1449 form, Written Opinion, three cited references, and marked-up copy of substitute specification*

<b>EXPRESS MAIL CERTIFICATE</b> I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV324436270US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450, on March 21, 2006:		
Dorothy Jenkins Name of Person Mailing Correspondence	 Signature	March 21, 2006 Date of Signature

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/573058</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP2004/013111</b>		ATTORNEY'S DOCKET NUMBER <b>P/1071-1615</b>	
				CALCULATIONS	PTO USE ONLY
The following fees have been submitted				<b>\$300.00</b>	
21. <input checked="" type="checkbox"/> Basic national fee.....				<b>\$300</b>	
22. <input checked="" type="checkbox"/> Examination fee				<b>\$200.00</b>	
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....				<b>\$100</b>	
All other situations.....				<b>\$200</b>	
23. <input checked="" type="checkbox"/> Search fee				<b>\$400.00</b>	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....				<b>\$100</b>	
International Search Report prepared and provided to the Office.....				<b>\$400</b>	
All other situations.....				<b>\$500</b>	
<b>TOTAL OF 21, 22 and 23 =</b>				<b>\$900.00</b>	
[ ] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				<b>\$</b>	
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	<b>\$</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<b>\$</b>	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	<b>\$</b>	
Total Claims	14 - 20 =	0	x \$ 50	<b>\$</b>	
Independent Claims	2 - 3 =	0	x \$200	<b>\$</b>	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	<b>\$</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$900.00</b>	
[ ] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.				<b>\$</b>	
<b>SUBTOTAL =</b>				<b>\$900.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$900.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				<b>\$40.00</b>	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$940.00</b>	
				Amount to be refunded:	<b>\$</b>
				Amount to be charged:	<b>\$</b>
a. <input checked="" type="checkbox"/> A check (check number <u>24001</u> ) in the amount <u>\$940.00</u> to cover the above fees is enclosed. b. [ ] Please charge my Deposit Account No. 15-0700 in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed. <b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status..</b>					
SEND ALL CORRESPONDENCE TO: James A. Finder Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas New York, New York 10036-8402			<div style="text-align: center;">             James A. Finder            Registration Number: 30,173            Telephone: (212) 382-0700         </div> <div style="text-align: right;">March 21, 2006</div>		

10/573058

AP9 Rec'd PCT/PTO 21 MAR 2006

P/1071-1615

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Shigeki FUKUNAGA

Serial No.: To be assigned

Filed: Herewith

New York, New York

Date: March 21, 2006

Group Art Unit: Unassigned

Examiner: Unassigned

For: METHOD AND DEVICE FOR MOUNTING LIGHT EMITTING ELEMENT

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

SUBMISSION

Sir:

Submitted herewith is a copy of art together with a form listing the same for the convenience of the Examiner.

EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label EV 324 436 270 US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 21, 2006:

Dorothy Jenkins

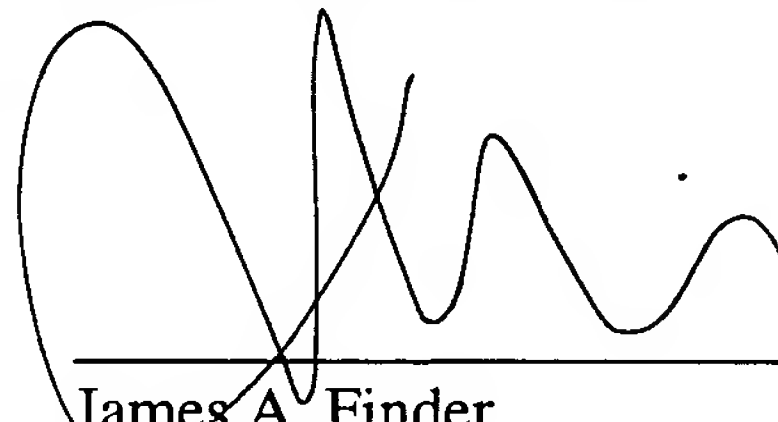
Name of Person Mailing Correspondence

Signature

March 21, 2006

Date of Signature

Respectfully submitted,



James A. Finder

Registration No.: 30,173

OSTROLENK, FABER, GERB & SOFFEN, LLP

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JAF:lf  
Enclosures